

$F$  a number field,  $E/\mathbb{F}$  an elliptic curve,  $p$  an odd prime  $\geq 5$ .

Mordell-Weil:  $E(F)$  is a finitely generated abelian group.

$r(E)$  = rank of  $E(F)$ .

B-S-D Conjecture: (Weak form)  $L(E, s)$ : Hasse-Weil L-function of  $E$   
 "arithmetic rank": Order of vanishing of  $L(E, s)$  at  $s=1$ .

• Analogue of the classical Riemann zeta function in context of elliptic curves.

B-S-D Conjecture predicts that  $r(E)$  = arithmetic rank of  $E$ .

Strong form of B-S-D: An exact formula for L-value in terms of deep and mysterious arithmetic objects associated to  $E$ .

Iwasawa theory: "p-adic" in nature, provides a philosophical framework that explains this mysterious connection predicted by B-S-D Conjecture.

Counter intuitive! This connection occurs by viewing objects associated to  $E$  over infinite Galois extensions associated to  $E$  of the base field  $F$ .

To such objects ("Selmer groups") one associates a "p-adic L-function" and a "characteristic element", both of these are elements in a common "Iwasawa algebra".

The p-adic L-function  $L_p(E, s)$  interpolates complex L-values, and the characteristic power series can be related to  $r(E)$ .

The "main conjecture" in Iwasawa theory predicts that these two elements generate the same ideal in the Iwasawa algebra. Thus the B-S-D conjecture is to be viewed as two "descent manifestations" of invariants associated to a common object over an infinite extension of  $F$ !

$F/F$  number field,  $p \geq 5$  prime.

$F_\infty/F$  a Galois extension,  $G = \text{Gal}(F_\infty/F)$ .

Assume:  $G$  is pro- $p$ , contains no elements of order  $p$ ,  
 $G$  is a  $p$ -adic analytic group.

$\Sigma_1$ .  $G \times \mathbb{Z}_p$ ,  $F_\infty = F_{\text{cyc}} \subseteq \bigcup_{n \geq 0} F(\mu_{p^n})$ ;  $\mu_{p^n}$ :  $p^n$ -th roots of unity.

•  $K$  an imaginary quadratic field,  $F_\infty =$  Composite of all linearly independent  $\mathbb{Z}_p$ -extensions of  $K$   
 $G = \text{Gal}(F_\infty/K) \cong \mathbb{Z}_p^2$ .

•  $F \supseteq E[p]$ , the  $p$ -torsion elements of  $E(\bar{F})$ ; assume  $E$  has no complex multiplication i.e.  $\text{End}(E) = \mathbb{Z}$ .

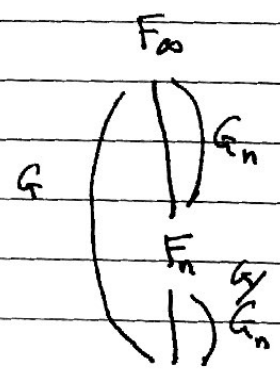
$$E[p^n] = \bigcup_{n \geq 0} E[p^n]; F_\infty = F(E_{p^\infty}).$$

$\text{Gal}(F_\infty/F) = G \subseteq \text{GL}_2(\mathbb{Z}_p)$ , equal for almost all  $p$  (Serre).

Iwasawa algebra:  $G$  as above, Iwasawa algebra over  $G$ .

$$\Lambda(G) = \varprojlim_{G_n \triangleleft G} \mathbb{Z}_p[G/G_n]$$

$F_\infty = \varinjlim F_n$ ,  $F_n$  finite layers of  $F$  in  $F_\infty$ .



•  $S$ : finite set of primes of  $F$  containing the primes above  $p$ , the primes of bad reduction of  $E$ .

•  $F_S$ : Maximal unramified outside  $S$  extension of  $F$ ; so  $F_{\text{cyc}}$  and  $F(E_{p^\infty})$  are both contained in  $F_S$ .

Selmer group:  $[L:F] < \infty$ , Galois extension;  $L \subseteq F_S$ .

$$\text{Sel}_{p^n}(E/L) \subseteq H^1(F_S/L, E_{p^n}).$$

$$0 \rightarrow \text{Sel}_{p^n}(E/L) \rightarrow H^1(F_S/L, E_{p^n}) \rightarrow \bigoplus_{v \in S} \bar{J}_v(E_{p^n}/L) \rightarrow 0$$

$$\bar{J}_v(E_{p^n}/L) = \bigoplus_{w|v} H^1(F_w, E)(p).$$



The above sequence is an exact sequence of  $\text{Gal}(L/F)$ -modules.

If  $L/F$  is an infinite Galois extension with  $G = \text{Gal}(L/F)$  then taking direct limits over finite extensions  $F \subseteq L_n \subseteq L$ , we have

$$0 \rightarrow \text{Sel}_{p^n}(E/L) \rightarrow H^1(F_S/L, E_{p^n}) \rightarrow \bigoplus_{v \in S} \bar{J}_v(E_{p^n}/L) \rightarrow 0$$

This is an exact sequence of  $\Lambda(G)$ -modules;  $\Lambda(G)$  is compact topological  $\mathbb{Z}_p$ -algebra, above modules are discrete  $\Lambda(G)$ -modules. Work with

$$X_{p^n}(E/L) := \text{Hom}(\text{Sel}_{p^n}(E/L), \mathbb{Q}_p/\mathbb{Z}_p), \text{ compact module.}$$

$$0 \rightarrow E(L) \otimes \mathbb{Q}_p/\mathbb{Z}_p \rightarrow \text{Sel}_{p^n}(E/L) \rightarrow \text{III}(E_{p^n}/L) \rightarrow 0$$

$$\text{III}: \text{Tate-Shafarevich gp; } \text{Ker}(H^1(L, E)(p) \rightarrow \prod_v H^1(L_v, E)(p)).$$

Structure theorem for f.g. compact modules over  $\Lambda(G)$  due to Serre-Iwasawa.

$$G \cong \mathbb{Z}_p, \Lambda(G) \cong \mathbb{Z}_p[[T]], \quad G \cong \mathbb{Z}_p^d, \Lambda(G) \cong \mathbb{Z}_p[[T_1, \dots, T_d]].$$

$M$  a f.g.  $\Lambda(G)$ -module,  $M \sim N$ ,  $N$  a f.g.  $\Lambda(G)$ -module means " $M$  is pseudoisomorphic to  $N$ "  $\iff \exists$  a  $\Lambda(G)$ -hom  $\varphi$

$$M \xrightarrow{\varphi} N$$

such that  $\text{ker } \varphi$  and  $\text{coker } \varphi$  have Krull dimension at most  $\text{eq. dim. } \Lambda(G) - 2$ .

Structure Theorem:  $M$  a f.g.  $\Lambda(G)$ -module,  $G \cong \mathbb{Z}_p$ , so  $\Lambda(G) \cong \mathbb{Z}_p[[T]]$ .

Then

$$M \sim \Lambda(G)^m \oplus \bigoplus_{i=1}^k \Lambda/p^{n_i} \oplus \bigoplus_{j=1}^r \Lambda/f_j^{m_j}$$

where  $f_j \in \mathbb{Z}_p[[T]]$  is a distinguished polynomial.

Invariants:  $\text{rank } M = m$ ,  $\mu(M) = \sum_{i=1}^k n_i$ ,  $\lambda(M) = \sum_{j=1}^r m_j \deg f_j$ .

When  $M = X_{\infty} = \text{Dual Selmer group of } E \text{ over } F_{\infty}/F$ , these

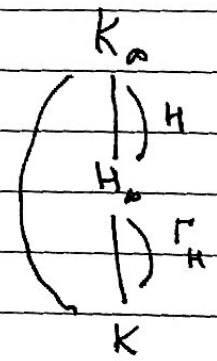
invariants encode information on the  $M$ -W ranks of  $E/F_n$ .

Our results below are framed in the following context, joint with Sören Kleine and Ahmed Ali Matar.

$E/k$  elliptic curve,  $k$  imaginary quadratic field  
 $K_{\infty}/k$  composite of all  $\mathbb{Z}_p$ -extensions of  $k$ ,  $G = \text{Gal}(K_{\infty}/k) \cong \mathbb{Z}_p^2$ .

$K \subseteq H_{\infty} \subseteq K_{\infty}$  an intermediary  $\mathbb{Z}_p$ -extension.

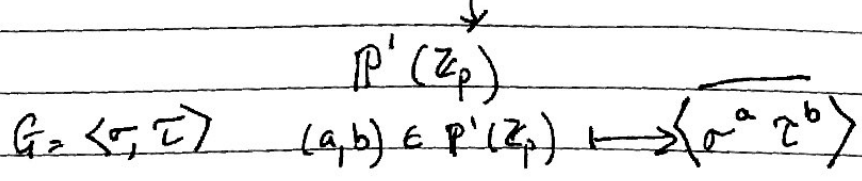
$$H = \text{Gal}(K_{\infty}/H_{\infty}) \cong \mathbb{Z}_p, \Gamma_H = \text{Gal}(H_{\infty}/K) \cong \mathbb{Z}_p.$$



$X(E/H_{\infty})$ : Dual Selmer group of  $E$  over  $H_{\infty}$ .

$\mu_{H_{\infty}}, \nu_{H_{\infty}}$  associated invariants. Note  $\Lambda_H \subseteq \Lambda_G$ .

Greenberg:  $\Sigma := \text{Set of all } \mathbb{Z}_p\text{-extensions of } k \text{ contained in } K_{\infty}$

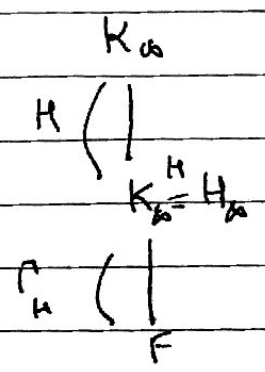


Topology on  $\mathcal{E}$ :  $L \in \mathcal{E}, n \in \mathbb{Z}^+, \mathcal{E}(L, n) := \{L' \in \mathcal{E} \mid [L' : L] : K \geq p^n\}$  base.

A neighbourhood consists of all  $\mathbb{Z}_p$ -extensions of  $K$  contained in  $K_\infty$  that coincide with  $L$  at least up to the  $n^{\text{th}}$  layer.

$X(E/K_\infty)$  is a compact, f.g.  $\Lambda(G)$ -module.

$\mathcal{M}_H(G)$ -Conjecture:  $\frac{X(E/K_\infty)}{X(E/K_\infty)(p)} =: X_f(E/K_\infty)$  is



a finitely generated  $\Lambda(H)$ -module.

Easy to see that  $\mu_{E, H_\infty} = 0 \iff X(E/K_\infty)$  is f.g. over  $\Lambda(H)$ .

$\mathcal{M}_H(G)$  Conjecture asserts that  $X_f(E/K_\infty)$  is f.g. over  $\Lambda(H)$ .

Known: If  $X(E/H_\infty)$  is a f.g. torsion  $\Lambda(\Gamma_H)$ -module, then

$X(E/H'_\infty)$  is also f.g. torsion  $\Lambda(\Gamma_{H'})$ -module for  $H'$  in a neighbourhood of  $H$  in  $\mathcal{E}$ .

Def:  $\mathcal{H} \subseteq \mathcal{E}; H \in \mathcal{H}, H = \langle \sigma^a, \tau^b \rangle, (a, b) \in P'(\mathbb{Z}_p)$  such that:

- (a) No prime of  $S$  splits completely in  $K_\infty^H/K$
- (b) Every prime of  $K$  above  $p$  ramifies in  $K_\infty^H/K$
- (c)  $X(E/K_\infty^H)$  is a torsion  $\Lambda(\Gamma_H) = \Lambda(G/H)$ -module.

Proof:  $\mathcal{H}$  is non-empty for all but finitely many  $(a, b) \in P'(\mathbb{Z}_p)$ .

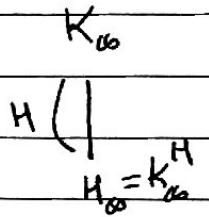
Conjecture (Mazur): The Mordell-Weil rank of  $E$  stays bounded along any  $\mathbb{Z}_p$ -extension of the imaginary quadratic field  $K$ , unless the extension is the anticyclotomic extension and the root number of  $E/K$  is  $-1$ .

Our results seem to manifest some surprising connections between  $M_H(G)$ -Conjecture and Mazur's Conjecture.

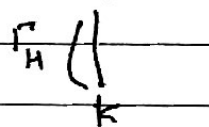
If as above,  $\mathcal{H} \neq \emptyset$ ;  $\Lambda(G) \cong \mathbb{Z}_p[[T_1, T_2]]$ . Assume  $E$  has good ordinary reduction at the primes above  $p$  in  $K$ . Then it is known that  $X(E/K_{\infty})$  is a f.g. torsion  $\Lambda_G$ -module.

Theorem 1: Assume  $\mathcal{H} \neq \emptyset$ . Then  $X(E/K_{\infty})$  is a torsion  $\Lambda(G)$ -module. For any  $H = \langle \sigma^a \tau^b \rangle \in \mathcal{H}$ , the FAE:

(a)  $X(E/K_{\infty})$  is f.g. over  $\Lambda(H)$  (i.e. the  $M_H(G)$ -conjecture holds for  $E$ ).



(b)  $M_G(X(E/K_{\infty})) = M_H(X(E/H_{\infty}))$ .



(c)  $\lambda(X(E/L))$  is bounded as  $L$  varies through the elements in a neighbourhood of  $H \in \mathcal{H}$ .

(d) If  $E_{p\text{-ord}}(K_{\infty})$  is finite, then the above are equivalent to

$$X(E/K_{\infty}) \hookrightarrow (\Lambda_H)^{\lambda_H}, \text{ with } \lambda_H \geq \lambda - \text{invariant of } X(E/H_{\infty}).$$

Theorem 2: Let  $t$  be the number of  $\mathbb{Z}_p$ -extensions of  $K$  where the rank of  $E$  does not stay bounded. Then

$$t \leq \min \{ \lambda_H \mid H \in \Sigma \}, \text{ where } \Sigma = \{ H \in \mathcal{H} \text{ s.t. } X(E/K_{\infty}) \text{ is f.g. as a } \Lambda_H\text{-module.}$$

In other words, suppose  $M_H(G)$ -conjecture holds for a  $H \in \Sigma$ . Then can bound the number of  $\mathbb{Z}_p$ -extensions where  $rk(E)$  is unbounded.

Rem.:  $K/\mathbb{Q}$  abelian,  $K_{\text{cyc}} \in \mathcal{H}$ .

Theorem: Assume that  $p$  is odd. If  $\text{rank } E(K) = 0$ , and  $\text{III}(E/K)(p^\infty)$  is finite then Mazur's Conjecture is true.

In the rank one case, use results of Kundo-Ray to obtain cases where Mazur's conjecture holds.

Theorem: Assume  $p$  odd and unramified in  $K/\mathbb{Q}$ . Suppose  $\text{rank } E(K) \leq 1$ ,  $\text{III}(E/K)(p^\infty) = 0$ , the  $p$ -adic valuation of the  $p$ -adic regulator of  $E$  and its quadratic twist are at most  $-1$ . Also assume:

- $p$  primes dividing  $N$  (Conductor of  $E$ ) split in  $K/\mathbb{Q}$ .
- $p \nmid \prod C_1(E) \cdot C_2(E^K)$  ( $C_2$ : Tamagawa number)
- $p \nmid \# \tilde{E}(\mathbb{F}_p) \cdot \# \tilde{E}^{(K)}(\mathbb{F}_p)$ .

Then Mazur's conjecture is true.

Eg:  $E = 43a1$ ,  $K = \mathbb{Q}(\sqrt{-3})$ ,  $p = 11, 13, 17, 19$

$E = 58a1$ ,  $K = \mathbb{Q}(\sqrt{-7})$ ,  $p = 5, 11, 13, 17$

$E = 61a1$ ,  $K = \mathbb{Q}(i)$ ,  $p = 5, 11, 17, 19$ .

- Results in the supersingular setting.
- Proofs are technical and delicate, analyse the cyclotomic case  $H_{\text{cyc}} = K_{\text{cyc}}$  and see how these can be carried over to  $H \in \mathcal{H}$ .